

Dictionary of NCDB Data Elements Included in IDEA

1.0 NCDB Data Elements Included in IDEA

The Integrated Data for Enforcement Analysis (IDEA) system incorporates data from 17 databases. IDEA makes copies of the source database files and incorporates the data into its own “shadow files.” Among the databases that IDEA draws from is the National Compliance Database (NCDB).

1.1 NCDB DESCRIPTION

The National Compliance Database (NCDB) tracks compliance and enforcement data under the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), the Toxic Substances Control Act (TSCA), and the Emergency Planning and Community Right To Know Act (EPCRA) Section 313. NCDB is the national repository for data from the regional FIFRA/TSCA Tracking System (FTTS), which resides on local area networks (LANs) in the regional Pesticides and Toxics Branches. The Office of Prevention, Pesticides, and Toxic Substances (OPPTS) uses NCDB to track compliance and enforcement data and to provide standardized and ad hoc reports. The major data sets include the following:

- Inspections
- Case Reviews
- Enforcement Actions
- Settlement Terms

Other datasets include Import, Sample, Referral, Multiple Actions, Milestones, and Grants.

Only certain data elements from NCDB are incorporated into IDEA. Furthermore, IDEA has created some derived fields; that is, data fields that do not exist in NCDB, but were derived from one or more NCDB fields.

This document contains the NCDB Data Element table as well as definitions of the NCDB data elements that exist in IDEA. The NCDB Data Element table on the following pages presents the NCDB data elements by table, parallel to the data's hierarchical relationships in IDEA. *Section 2.0, NCDB Data Element Definitions*, lists each NCDB data element present in IDEA in alphabetical order.

1.2 NCDB DATA ELEMENTS (BY GROUP)

The table below lists the NCDB elements that appear in IDEA by their database group. If you wish to view NCDB data elements arranged alphabetically, please refer to Attachment 1, *NCDB Data Elements (by data element name)*. The following points should be considered when referencing these data element listings:

- A data element must be indexed to be used in an IDEA query. Refer to the 'Index?' column of the table to see whether or not a data element is indexed.
- In order to access sensitive data elements, users must have Enforcement Sensitive access. Refer to the 'Sensitive?' column of the table to see whether or not the data element is enforcement sensitive.
- Italicized data elements indicate single and multiple indexing elements. These elements are created in IDEA to make it easier for the user to search on a portion of a data field, or to search on more than one data field at a time.
- Data elements in the same group are all either repeating fields or not. Refer to the 'Repeat Record' column of the table to see whether or not the data element is a repeating field. In repeating groups, the data element(s) that uniquely determine the record, the "keyed" elements, are denoted by a 'K' in parentheses.

NCDB Data Elements (by group)						
Repeat Record?	Element Name	Index?	Sensitive?	Data Type	Length	Long Name
INDEPENDANT						
N	NCDBID	N	S	Char	19	NCDB Identification Number
SAMPLES (A)						
N	SAMPNBR	Y	S	Char	3	Sample Number
COMMON						
N	INVSTYP	Y	S	Char	3	Investigation Type Code
	LEGISLA	Y	S	Char	1	Legislation/Statute Code
	PRODUCT	Y	S	Char	14	EPA Product Registration Number
	REASON	Y	S	Char	3	Reason for Inspection Code
	REFERRL	Y	S	Char	2	Referral Type Code
	REGION	Y	S	Char	2	EPA Region Code
	RGNSTAT	Y	S	Char	2	Region/State/Territory/Tribe Code
IDENTIFICATION						
Y	NAMESEQ(K)	Y	S	Char	1	Name Sequence Number
	NAMETYP	N	S	Char	8	Name Type
	NAME	N	S	Char	40	Name
	<i>\$#1NAME</i>	Y	S	<i>Char</i>	<i>18</i>	<i>Name Index</i>
	ADDRESS	N	S	Char	40	Street Address
	CITY	N	S	Char	20	City Name
	STATE	Y	S	Char	2	State/Territory Abbreviation
	<i>STCITYN</i>	Y	S	<i>Char</i>	<i>12</i>	<i>State/City Index</i>

NCDB Data Elements (by group)						
Repeat Record?	Element Name	Index?	Sensitive?	Data Type	Length	Long Name
	ZIP	N	S	Char	10	Zip Code
	ZIP5	Y	S	Char	5	Five-Digit Zip Code
	SICLIST	N	S	Char	29	SIC Codes
	SIC	Y	S	Char	4	SIC Code Index
	DUN	N	S	Char	11	DUNs Number
	NAMEHSH	N	S	Char	100	Name Hash
	HASHWD	Y	S	Char	4	Name Hash Index
INSPECTIONS						
N	AUDITS	N	S	Num	4	Number of Audits
	PESTAB	N	S	Char	12	Pesticide Producer Establishment Number
	FACILIT	Y	S	Char	2	Facility Function Code
	FEDFAC	Y	S	Char	1	Federal Facility Flag
	INSPDTE	Y	S	Num	8	Date of Inspection (YYYYMMDD)
	INSPNBR	Y	S	Char	5	Inspector Number
	NBRAMP	N	S	Num	4	Number of Samples Taken
	SCHLTYP	Y	S	Char	1	School System Type Code
	SCHOOLS	N	S	Num	4	Number of Schools Inspected
	CASNMBR	Y	S	Char	10	CAS Number
	CERTIF	Y	S	Char	3	Chemical Search Review Status
	CHEMFRM	N	S	Char	60	Formula of Chemical Investigated
	CHEMNAM	N	S	Char	60	Name of Chemical Investigated
	ENTRYDT	Y	S	Num	8	Date of Import (YYYYMMDD)
	ENTRYNO	N	S	Char	15	Import Number
	INVENTY	Y	S	Char	1	TSCA Inventory Status of Chemicals
	TARIFF	N	S	Char	10	Tariff Schedule Number
REFERRALS						
N	DESTIN	N	S	Char	2	Destination Referral Code
	DOCCASE	Y	S	Char	15	Docket or Case Number
	ENFISSU	Y	S	Num	8	Date Enforcement Action Issued (YYYYMMDD)
	ENFTARG	Y	S	Num	8	Date Enforcement Action Targeted (YYYYMMDD)
	ENFWARR	Y	S	Char	1	Enforcement Action Warranted Flag
	INSTARG	Y	S	Num	8	Date Inspection Targeted (YYYYMMDD)
	INSPCON	Y	S	Num	8	Date Inspection Conducted (YYYYMMDD)
	INSPECT	Y	S	Char	15	Inspection Number
	ORIGIN	N	S	Char	2	Origin of Referral Code
	REFDATE	Y	S	Num	8	Date of Referral (YYYYMMDD)
	REFTYPE	Y	S	Char	2	Referral Type
	REFERBY	N	S	Char	20	Referred By
	REQRECD	Y	S	Num	8	Date Reference Request Received (YYYYMMDD)
	SAMPLES	N	S	Char	1	Samples to be Taken Flag
ENFORCEMENT ACTIONS						
N	ABATEMN	Y	S	Num	9	Abatement Work
	ACTNTYP	Y	S	Char	3	Action Type Code
	AOISSUE	Y	S	Num	8	Date Minor Action Issued (YYYYMMDD)
	APPEAL	Y	S	Num	8	Date of Appeal (YYYYMMDD)
	CHEMICL	N	S	Char	20	Name of Chemical
	CLOSED	Y	S	Num	8	Date Action is Closed (YYYYMMDD)
	DATEVAC	Y	S	Num	8	Date Action is Vacated (YYYYMMDD)
	FNLPENL	Y	S	Num	9	Final Penalty Assessed (YYYYMMDD)

NCDB Data Elements (by group)						
Repeat Record?	Element Name	Index?	Sensitive?	Data Type	Length	Long Name
	HQCONCR	Y	S	Num	8	Date of HQ Concurrence (YYYYMMDD)
	PROPENL	Y	S	Num	9	Proposed Penalty
	REFERHQ	Y	S	Num	8	Date of Referral to HQ (YYYYMMDD)
	SENTHQQ	Y	S	Num	8	Date Action Sent to HQ (YYYYMMDD)
	VIOCODE	N	S	Char	23	Violation Codes
	VIOCDS	Y	S	Char	3	Violation Code Index
	CAFOMAI	N	S	Num	8	Date CAFO Mailed (YYYYMMDD)
	CAFOREC	N	S	Num	8	Date CAFO Received (YYYYMMDD)
	CAFOTHM	N	S	Num	8	Date CAFO Signed (Them) (YYYYMMDD)
	CAFOUS	N	S	Num	8	Date CAFO Signed (EPA) (YYYYMMDD)
	DISACTN	N	S	Num	8	Date of District Court Action (YYYYMMDD)
	FNDDEC	N	S	Num	8	Date of ALJs Decision (YYYYMMDD)
	HEARHLD	N	S	Num	8	Date of Hearing (YYYYMMDD)
	MAISSUE	Y	S	Num	8	Date Major Action Issued (YYYYMMDD)
	MAWITHD	N	S	Num	8	Date Major Action Withdrawn (YYYYMMDD)
	REFRDOJ	N	S	Num	8	Date Action Referred to DOJ (YYYYMMDD)
	SNC	Y	S	Char	1	Significant Noncomplier Flag
	ADMJUD	Y	S	Char	1	Administrative/Judicial Action Flag
	NUMEBES	Y	S	Num	4	Number of EBE Settlement Terms
	STATUS	Y	S	Char	1	Action Status
SETTLEMENT TERMS						
Y	TERMSEQ(K)	N	S	Num	4	Term Sequence Number
	COST	N	S	Num	9	Cost to Respondent Per EBE Term
	DUE	N	S	Num	8	Date Settlement Condition Due (YYYYMMDD)
	EBE	Y	S	Char	1	Environmentally Beneficial Expenditure Flag
	PPCODE	N	S	Char	3	Pollution Prevention Type Code
	REC	N	S	Num	8	Date Settlement Condition Term Received (YYYYMMDD)
	TERM	N	S	Char	50	Description of Term
	AMTMIT	N	S	Num	9	Amount Mitigated for EBEs
GRANTS						
Y	YEAR(K)	Y	S	Num	4	Year
	QUARTER(K)	Y	S	Char	1	Quarter
	ACTIVIT(K)	Y	S	Char	1	Activity
	CATEGOR(K)	Y	S	Char	4	Category
	TYPE(K)	Y	S	Char	1	Type
	AGUSE	N	S	Num	7	Agricultural Use Inspections
	AGFOLLO	N	S	Num	7	Agricultural Follow-Up Inspections
	NAGUSE	N	S	Num	7	Non-Agricultural Use Inspections
	NAGFOLL	N	S	Num	7	Non-Agricultural Follow-Up Inspections
	EXPERIM	N	S	Num	7	Experimental Use Inspections
	PROESTB	N	S	Num	7	Producing Establishments Inspections
	MARKET	N	S	Num	7	Marketplace Inspections
	IMPORTS	N	S	Num	7	Imports Inspections
	EXPORT	N	S	Num	7	Exports Inspections

NCDB Data Elements (by group)						
Repeat Record?	Element Name	Index?	Sensitive?	Data Type	Length	Long Name
	CERTAPL	N	S	Num	7	Certified Applicators Records Inspections
	RUPDEAL	N	S	Num	7	Restricted Use Pesticide Dealer Inspections
	TOTAL	N	S	Num	10	Total Enforcement Accomplishments
	PVTAPPL	N	S	Num	7	Private Applicators
	COMMAPP	N	S	Num	7	Commercial Applicators
	AGRLPLT	N	S	Num	7	Agricultural/Plant Applicators
	AGRLANL	N	S	Num	7	Agricultural/Animal Applicators
	FOREST	N	S	Num	7	Forest Applicators
	ORNTURF	N	S	Num	7	Ornamental and Turf Applicators
	SEEDTRE	N	S	Num	7	Seed Treatment Applicators
	AQUATIC	N	S	Num	7	Aquatic Applicators
	RGHTOFW	N	S	Num	7	Right of Way Applicators
	INDSTRH	N	S	Num	7	Industrial/Institutional Structural Health Applicators
	PBLHEAL	N	S	Num	7	Public Health Applicators
	REGULA	N	S	Num	7	Regulatory Commercial Applicators
	DEMORES	N	S	Num	7	Demonstration/Research Applicators
	OTHER	N	S	Num	7	Other
SAMPLES (B)						
N	ACTWRNT	N	S	Char	1	Action Warranted Flag
	COMPDE	Y	S	Num	8	Date Case Review Completed (YYYYMMDD)
	DATESNT	Y	S	Num	8	Date Sample Sent to Lab (YYYYMMDD)
	MEDIUM	Y	S	Char	3	Sample Medium Code
	SPRODCT	Y	S	Char	14	EPA Registration Number of Sample Product
	VOLATIV	Y	S	Char	1	Violative Sample Flag
MULTIPLE ACTIONS						
Y	ACTNID(K)	N	S	Char	19	Case or Docket Number
	IACNTY	Y	S	Char	3	Enforcement Action Type Code
	IAOISSU	Y	S	Num	8	Date Minor Action Issued (YYYYMMDD)
	ICLOSED	Y	S	Num	8	Date Action is Closed (YYYYMMDD)
	IFNLPEN	Y	S	Num	9	Final Penalty Assessed
	IPROPEN	Y	S	Num	9	Proposed Penalty
	IRGNSTA	Y	S	Char	2	Region/State/Territory Issuing Action
	IVIOCODE	N	S	Char	23	Violation Codes
	IVIOCOD	Y	S	Char	3	Violation Code Index
	ICAFOMA	N	S	Num	8	Date CAFO Mailed (YYYYMMDD)
	ICAFOUS	N	S	Num	8	Date CAFO Signed (EPA) (YYYYMMDD)
	IMAISSU	Y	S	Num	8	Date Major Action Issued (YYYYMMDD)
	IMAWITH	N	S	Num	8	Date Major Action Withdrawn (YYYYMMDD)
	IREFRDO	N	S	Num	8	Date Action Referred to DOJ (YYYYMMDD)
	ISNC	Y	S	Char	1	Significant Noncomplier Flag
	IADMJUD	Y	S	Char	1	Administrative/Judicial Action Flag
	INUMEBE	Y	S	Num	4	Number of EBE Settlement Terms
	ISTATUS	Y	S	Char	1	Action Status

This page is intentionally left blank.

2.0 NCDB Data Element Definitions

The following is a list of all NCDB data elements and NCDB-derived elements that appear in IDEA. The data elements are listed alphabetically. Detailed explanations and codes are contained in the appendices.

\$#1NAME (Name Index) A single element index that allows users to search the name field using whole words. Unlike the HASHWD data element, which searches the name hash (NAMEHSH) field for an occurrence of the four-character hash word, \$#1NAME searches for the whole word. Using this element to search the name field will help eliminate instances of false positives in your search.

ABATEMN (Abatement Work) A nine-digit field that indicates the amount (in dollars) of abatement work that a Local Education Agency (LEA) consents to perform.

ACTIVIT (Activity) A one-character code that identifies the activity that is the subject of the 5700 form: Cooperative or State activity.

ACTNID (Case or Docket Number) A unique nineteen-character number that identifies both the action and its corresponding case number referred to in the Multiple Actions file. This element is derived in IDEA by combining CASENBR (a unique number identifying a FIFRA or TSCA minor enforcement action) and DOCKETNBR (the case number or docket number assigned to an enforcement action).

ACTNTYP (Enforcement Action Type Code) A three-character code that identifies the type of enforcement action. See Appendix A for Enforcement Action Type codes.

ACTWRNT (Action Warranted Flag) A one-character flag that indicates whether or not action is warranted (Y/N).

ADDRESS (Street Address) A field (up to 40 characters) that contains the street address of the importer or broker referred to in the NAME field.

ADMJUD (Administrative/Judicial Action Flag) A one-character flag that identifies an action as administrative ('A') or judicial ('J'). If there is an action date present in MAISSUE but no flag in the ADMJUD field, then the action was a Notice of Violation (NOV).

AGFOLLO (Agricultural Follow-up Inspections) A seven-digit field that displays a count of the Enforcement Accomplishments for Agricultural Follow-up on the 5700 form.

AGRLANL (Agricultural/Animal Applicators) A seven-digit field that displays a count of the Enforcement Accomplishments for Agricultural Animals Applicators on the 5700 form.

AGRLPLT (Agricultural/Plant Applicators) A seven-digit field that displays a count of the Certification Accomplishments for Agricultural/Plant applicators on the 5700 form.

AGUSE (Agricultural Use Inspections) A seven-digit field that displays a count of the Enforcement Accomplishments for Agricultural Use on the 5700 form.

AMTMIT (Amount Mitigated for EBEs) A nine-digit field that indicates the dollar amount mitigated from the final assessment because the Responsible Party agreed to a term.

AOISSUE (Date Minor Action Issued) A field that indicates the date (YYYYMMDD) that a minor action is issued.

APPEAL (Date of Appeal) A field that indicates the date (YYYYMMDD) that an enforcement action appeal is filed by the responsible party.

AQUATIC (Aquatic Applicators) A seven-digit field that displays a count of the Certification Accomplishments for Aquatic applicators on the 5700 form.

AUDITS (Number of Audits) A four-digit code that indicates the number of audits performed in connection with an inspection.

CAFOMAI (Date CAFO Mailed) A field that indicates the date (YYYYMMDD) that the Consent Agreement/Final Order (CAFO) is mailed to the responsible party.

CAFOREC (Date CAFO Received) A field that indicates the date (YYYYMMDD) that the Consent Agreement/Final Order (CAFO) is received from the responsible party.

CAFOTHM (Date CAFO Signed (Them)) A field that indicates the date (YYYYMMDD) that the responsible party signs the Consent Agreement/Final Order (CAFO).

CAFOUS (Date CAFO Signed (EPA)) A field that indicates the date (YYYYMMDD) that EPA signs the Consent Agreement/Final Order (CAFO).

CASNMBR (CAS Number) The ten-character Chemical Abstract (CAS) number of the chemical investigated.

CATEGOR (Category) A four-character field that indicates the type of Enforcement on Certification Accomplishments.

CERTAPL (Certified Applicators Records) A seven-digit field that displays a count of Enforcement Accomplishments for Certified Applicators Records on the 5700 form.

CERTIF (Chemical Search Review Status) A three-character code that reflects the status of the chemical search or records review.

13A FTC, + Certification Required

13B FTC, - Certification Required

13C FC, + Certification, but entry not allowed TSCA

13D FC, - Certification, but chemical is subject to TSCA

13E FC, - Certification, but entry not allowed TSCA

CHEMFRM (Formula of Chemical Investigated) A field (up to 60 characters) that displays the formula of the chemical under investigation.

CHEMICL (Name of Chemical) A field (up to 20 characters) that contains the name of a chemical involved in an enforcement action.

CHEMNAM (Name of Chemical Investigated) A field (up to 60 characters) that contains the name of the chemical under investigation.

CITY (City Name) A field (up to 20 characters) that contains the name of the city where the broker or importer referred to in the NAME field resides.

CLOSED (Date Action is Closed) A field that indicates the date (YYYYMMDD) that an enforcement action is completed and closed.

COMMAPP (Commercial Applicators) A seven-digit field that displays a count of Certification Accomplishments for Commercial Applicators on the 5700 form.

COMPDTE (Date Case Review Completed) A field that indicates the date (YYYYMMDD) that a case review is completed.

COST (Cost to Respondent per EBE Term) A nine-digit field that indicates the dollar amount it will cost the Responsible Party to implement a term.

DATESNT (Data Sample Sent to Lab) A field that indicates the date (YYYYMMDD) that a sample is sent to the lab.

DATEVAC (Date Action is Vacated) A field that indicates the date (YYYYMMDD) that a minor action is vacated.

DEMORES (Demonstration and Research Applicators) A seven-digit field that displays a count of the Certification Accomplishments for Demonstration and Research Applicators on the 5700 form.

DESTIN (Destination Referral Code) A two-character code that indicates the state, region, or national office accepting a referral. See Appendix G for Region/State/Territory codes.

DISACTN (Date of District Court Action) A field that indicates the date (YYYYMMDD) of the most recent District Court action.

DOCCASE (Docket or Case Number) A fifteen-character case number or docket number assigned to an enforcement action.

DUE (Date Settlement Condition Due) A field that indicates the date (YYYYMMDD) that a condition is due under a Settlement with Conditions.

DUN (DUNs Number) An eleven-character field that contains the DUNs number of the importer or broker identified in the NAME field. The DUNs number is a unique identifier established by the Dun & Bradstreet (D&B) database. D&B develops and maintains a broad set of information about businesses and establishments which it makes available to clients for a fee. This field contains dashes.

EBE (Environmentally Beneficial Expenditure Flag) A one-character flag that indicates whether a term under a Settlement with Conditions has an Environmentally Beneficial Expenditure (T/F).

ENFISSU (Date Enforcement Action Issued) A field that indicates the date (YYYYMMDD) that an enforcement action is issued.

ENFTARG (Date Enforcement Action Targeted) A field that indicates the target date (YYYYMMDD) of an enforcement action.

ENFWARR (Enforcement Action Warranted Flag) A one-character flag that indicates whether an enforcement action is warranted.

ENTRYDT (Date of Import) A field that indicates the date (YYYYMMDD) of import for a product containing the chemical under investigation.

ENTRYNO (Import Number) The fifteen-character import number assigned to the product containing the chemical under investigation.

EPESTAB (Pesticide Producer Establishment Number) The twelve-character EPA registration number identifying pesticide producing establishments.

EXPERIM (Experimental Use) A seven-digit field that displays a count of the Enforcement Accomplishment for Experimental Use on the 5700 form.

EXPORT (Exports Inspections) A seven-digit field that displays a count of the Enforcement Accomplishments for exports on the 5700 form.

FACILIT (Facility Function Code) A two-character code that indicates the function performed by an inspected facility (i.e., producer, manufacturer, broker, etc.). See Appendix B for Facility Function codes.

FEDFAC (Federal Facility Flag) A one-character flag that indicates whether the inspection site is a federal facility (Y/N).

FNDDEC (Date of ALJs Decision) A field that indicates the date (YYYYMMDD) that the Administrative Law Judge (ALJ) renders the final decision in a major enforcement action.

FNLPENL (Final Penalty Assessed) A nine-digit field that indicates the dollar amount of the final assessment for a major action.

FOREST (Forest Applicators) A seven-digit field that displays a count of the Certification Accomplishments for Forest Applicators on the 5700 form.

HASHWD (Name Hash Index) A single element index that allows the user to search the NAMEHSH field for any word in the plant name regardless of its position in the NAMEHSH field.

HEARHLD (Date of Hearing) A field that indicates the date (YYYYMMDD) that the hearing associated with a major enforcement action is held.

HQCONCR (Date of HQ Concurrence) A field that indicates the date (YYYYMMDD) that EPA Headquarters concurs with an enforcement action.

IACNTY (Enforcement Action Type Code) A three-character code that identifies the type of enforcement action in the Multiple Actions file. See Appendix A for Enforcement Action Type codes.

IADMJUD (Administrative/Judicial Action Flag) A one-character flag that identifies an action as administrative ('A') or judicial ('J').

IAOISSU (Date Minor Action Issued) A field that indicates the date (YYYYMMDD) that a minor action is issued.

ICAFOMA (Date CAFO Mailed) A field that indicates the date (YYYYMMDD) that the Consent Agreement/Final Order (CAFO) is mailed to the responsible party.

ICAFOUS (Date CAFO Signed (EPA)) A field that indicates the date (YYYYMMDD) that EPA signs a Consent Agreement/Final Order (CAFO).

ICLOSED (Date Action is Closed) A field that indicates the date (YYYYMMDD) that an enforcement action is completed and closed.

IFNLPEN (Final Penalty Assessed) A nine-digit code of the final assessment amount (in dollars) for a major action.

IMAISSU (Date Major Action Issued) A field that indicates the date (YYYYMMDD) that a major action is issued to the responsible party.

IMAWITH (Date Major Action Withdrawn) A field that indicates the date (YYYYMMDD) that a major action is withdrawn.

IMPORTS (Imports Inspections) A seven-digit field that displays a count of the Enforcement Accomplishments for Imports on the 5700 form.

INDSTRH (Industrial Institutional Structural Health Applicators) A seven-digit field that displays a count of Certification Accomplishments for Industrial Institutional Structural Health Applicators on the 5700 form.

INSPCON (Date Inspection Conducted) A field that indicates the date (YYYYMMDD) that an inspection is conducted pursuant to a referral.

INSPECT (Inspection Number) The thirteen-character number identifying an inspection, consisting of the inspection date in YYYYMMDD format, the inspector number (INSPNBR) and the inspection sequence number in character format.

INSPDTE (Date of Inspection) A field that indicates the date (YYYYMMDD) that an inspection is conducted or scheduled.

INSPNBR (Inspector Number) The five-character identification number of the inspector conducting the inspection.

INSTRAG (Date Inspection Targeted) A field that indicates the target date (YYYYMMDD) for a referred inspection to be conducted.

INUMEBE (Number of EBE Settlement Terms) A four-digit field that indicates the number of Environmentally Beneficial Expenditure (EBE) settlement terms for the case.

INVENTY (TSCA Inventory Status) A one-character flag that indicates whether the chemical is on the TSCA inventory.

INVSTYP (Investigation Type Code) A three-character code that identifies the legislation and section of the law relating to a compliance/enforcement action. See Appendix C for Investigation Type codes.

IROPEN (Proposed Penalty) A nine-digit code of the proposed penalty (in dollars) assessed in an enforcement action.

IREFRDO (Date Action Referred to DOJ) A field that indicates the date (YYYYMMDD) that a major action is referred to DOJ.

IRGNSTA (Region/State Issuing Action) A two-character code that indicates the region, state, or territory issuing the action. See Appendix G for Region/State/Territory codes.

ISNC (Significant Noncomplier Flag) A one character flag that indicates whether or not the responsible party is a Significant Noncomplier (Y/N).

ISTATUS (Action Status) A one-character code indicating the status of the action (Open/Closed/Withdrawn).

IVIOCDE (Violation Codes) A twenty-three-character field that can carry up to six three-character violation codes (each separated by a space) that apply to the case referred to in the Multiple Actions file. This field is derived in IDEA and combines VIO_CODE1 through VIO_CODE6 of the native NCDB system into a single twenty-three-character field. See Appendix H for Violation codes.

IVIOCOD (Violation Code Index) A single element index that allows the user to search on the data element IVIOCDE (Violation Codes). Using the IVIOCOD

(Violation Code Index) element, users can search for an occurrence of any violation code in any position of the IVIOCDE field. See Appendix H for Violation codes.

LEGISLA (Legislation/Statute Code) A one-character code that identifies the legislative authority for conducting a compliance and enforcement action.

E	EPCRA
F	FIFRA
T	TSCA

MAISSUE (Date Major Action Issued) A field that indicates the date (YYYYMMDD) that a major action is issued to the responsible party.

MARKET (Marketplace Inspections) A seven-digit field that displays a count of the Enforcement Accomplishments for Marketplace on the 5700 form.

MAWITHD (Date Major Action Withdrawn) A field that indicates the date (YYYYMMDD) that a major action is withdrawn.

MEDIUM (Sample Medium Code) A descriptive three-character code that identifies the medium from which a sample was taken.

NAGFOLL (Nonagricultural Follow-up Inspections) A seven-digit field that displays a count of the Enforcement Accomplishments for Nonagricultural Follow-up on the 5700 form.

NAGUSE (Nonagricultural Use Inspections) A seven-digit field that displays a count of the Enforcement Accomplishments for Nonagricultural Use on the 5700 form.

NAME (Name) A field (up to 40 characters) that contains the name of the broker associated with the import review, or the name of the importer responsible for the product containing the chemical under review.

NAMEHSH (Name Hash) A field that contains the hash name of the name identified in the NAME field. The hash name includes the first letter of each word in the facility name and the next three consonants thereafter. The hash name excludes vowels unless one occurs in the first letter in a name. If the word contains consecutive double consonants (e.g., bottle), only one is included in the hash name (e.g., btl). Each word of the facility name occupies four characters of the NAMEHSH field; spaces are inserted for those words whose hash name is not four characters long.

NAMESEQ (Name Sequence Number) A one-character field that contains a sequence number for the NAME field.

NAMETYP (Name Type) An eight-character field that identifies whether the name in the NAME field is that of the importer (IMPORT) or the broker (BROKER).

NBRSAMP (Number of Samples) A four-digit code that identifies the number of samples taken during an inspection.

NCDBID (NCDB Identifier) A unique nineteen-character number that identifies an event (inspection, enforcement action, etc.). The National Compliance Database is event based, not facility based—that is, the records correspond to a particular event, not a particular facility.

NUMEBES (Number of EBE Settlement Terms) A four-digit field that indicates the number of Environmentally Beneficial Expenditure (EBE) settlement terms for the case.

ORIGIN (Origin of Referral Code) A two-digit code that identifies the state, region, or national office that originates a referral. See Appendix G for Region/State/Territory codes.

ORNTURF (Ornamental and Turf Applicators) A seven-digit field that displays a count of the Certification Accomplishments for Ornamental and Turf Applicators on the 5700 form.

OTHER (Other) A seven-digit field that displays a count of the Certification Accomplishments for the “Other” category (not detailed) on the 5700 form.

PBLHEAL (Public Health) A seven-digit field that displays a count of the Certification Accomplishments for Public Health on the 5700 form.

PPCODE (Pollution Prevention Type Code) A three-character code that identifies the type of Environmentally Beneficial Expenditure (EBE) term. See Appendix D for Pollution Prevention Type codes.

PRODUCT (EPA Product Registration Number) A fourteen-character field that contains the EPA registration number assigned to a product under FIFRA regulation.

PROESTB (Producing Establishments Inspections) A seven-digit field that displays a count of the Enforcement Accomplishments for Producing Establishments on the 5700 form.

PROPENL (Proposed Penalty) A nine-digit code of the proposed penalty amount (in dollars) assessed in an enforcement action.

PVTAPPL (Private Applicators) A seven-digit field that displays a count of the Certification Accomplishments for Private Applicators on the 5700 form.

QUATER (Quarter) A one-character field that indicates the quarter of the Fiscal Year on the 5700 form.

REASON (Reason for Inspection Code) A three-character code that indicates the reason that an inspection is conducted. See Appendix E for Reason for Inspection codes.

REC (Date Settlement Condition Term Received) A field that indicates the date (YYYYMMDD) that a term is received under a Settlement with Conditions.

REFDATE (Date of Referral) A field that indicates the date (YYYYMMDD) of a referral.

REFERBY (Referred By) A field (up to 20 characters) that contains the name of the person responsible for making the referral.

REFERHQ (Date of Referral to HQ) A field that indicates the date (YYYYMMDD) that an enforcement action is referred to EPA Headquarters.

REFERRL (Referral Type Code) A two-character code that indicates the type of referral. See Appendix F for values.

REFRDOJ (Date Action Referred to DOJ) A field that indicates the date (YYYYMMDD) that a major action is referred to DOJ.

REFTYPE (Referral Type) A two-character code indicating the type of referral. See Appendix F for Referral Type codes.

REGION (EPA Region Code) A two-character code that indicates the EPA Region.

REGULA (Regulatory) A seven-digit field that displays a count of the Certification Accomplishments for Regulatory on the 5700 form.

REQRECD (Date Reference Request Received) A field that indicates the date (YYYYMMDD) that the referral request is received.

RGHTOFW (Right of Way Applicators) A seven-digit field that displays a count of the Certification Accomplishments for Right of Way Applicators on the 5700 form.

RGNSTAT (Region/State/Territory/Tribe Code) A two-character code that indicates the region, state, territory or tribe conducting the inspection. See Appendix G for Region/State/Territory codes.

RUPDEAL (Restricted Use Pesticide Dealer Inspections) A seven-digit field that displays a count of the Enforcement Accomplishments for Restricted Use Pesticide Dealer on the 5700 form.

SAMPNBR (Sample Number) A three-character field that identifies a number from which a sample was taken.

SAMPLES (Samples to be Taken Flag) A one-character flag that indicates whether or not samples should be taken during a referred inspection.

SCHLTYP (School System Type Code) A one-character code indicating the type of Local Education Agency (LEA).

C	Private Catholic School
N	Private Non-Catholic School
P	Public School

SCHOOLS (Number of Schools Inspected) A four-digit code that contains a count of the total number of schools in a Local Education Agency (LEA).

SEEDTRE (Seed Treatment) A seven-digit field that displays a count of Certification Accomplishments for Seed Treatment on the 5700 form.

SENTHQQ (Date Action Sent to HQ) A field that indicates the date (YYYYMMDD) that an enforcement action is sent to EPA Headquarters.

SIC (SIC Code Index) A single element index that allows the user to search on the data element SICLIST. Using the SIC element, users can search for an occurrence of any Standard Industrial Classification (SIC) code in any position of the SICLIST field.

SICLIST (SIC Codes) A twenty-nine digit field that contains up to six four-digit Standard Industrial Classification (SIC) codes for the inspection site. In the field, each SIC code is separated by a space.

SNC (Significant Noncomplier Flag) A one-character flag that indicates whether or not the responsible party is a Significant Noncomplier (Y/N).

SPRODUCT (EPA Registration Number of Sample Product) The fourteen-character EPA registration number assigned to a product under FIFRA regulation.

STATE (State/Territory Abbreviation) A two-character field that contains the state/territory abbreviation of the state/territory where the broker or importer referred to in the NAME field resides. See Appendix G for Region/State Territory codes.

STATUS (Action Status) A one-character code that identifies the action as either open, closed, or withdrawn.

STCITYN (State and City Index) A multiple element index that combines the State abbreviation (STATE) and the City name (CITY) into a single field. The first two characters of STCITYN display the state abbreviation while the last 10 characters of STCITYN display the city name.

TARIFF (Tariff Schedule Number) A ten-character number that identifies the import tariff schedule.

TERM (Description of Term) A field (up to 50 characters) that contains the description of a term to be met by the responsible party under a Settlement with Conditions.

TERMSEQ (Term Sequence Number) A four-digit field that indicates a term sequence for the 070TERMS record. The first record is '1', the second record is '2', and so on.

TOTAL (Total Enforcement Accomplishments)) A seven-digit field that displays a count of the total number of Enforcement Accomplishments on the 5700 form.

TYPE (Type) A one-character field that identifies the type of Enforcement and Certification (Accomplishments or Projections) on the 5700 form.

VIOCDE (Violation Codes) A twenty-three-character field that can carry up to six three-character violation codes that were cited in an enforcement action. Each code is separated by a space. This field is derived in IDEA and combines VIO_CODE1 through VIO_CODE6 of the native NCDB system into a single twenty-three-character field. See Appendix H for Violation codes.

VIOCOD (Violation Code Index) A single element index that allows the user to search on the data element VIOCDE (Violation Codes). Using the VIOCOD (Violation Code Index) element, users can search for an occurrence of any air program code in any position of the VIOCDE field. See Appendix H for Violation codes.

VOLATIV (Violative Sample Flag) A one-character flag that indicates whether a sample is violative (Y/N).

YEAR (Year) A four-digit code that contains the Fiscal Year on the 5700 form.

ZIP (Zip Code) A ten-digit field containing the nine-digit Zip code of the importer or broker referred to in the NAME field.

ZIP5 (Five-Digit Zip Code) A single element index that allows the user to search on the first five digits of the ZIP field.

This page is intentionally left blank.

Appendix A

Enforcement Action Type Codes

Enforcement Action Type Code (ACTNTYP) values:

Enforcement Action Type Code (ACTNTYP)	
Code	Description
14W	14(A)(2) Notice of Warning
9WR	9(C)(3) Notice of Warning
ADL	Advertising/Advising Letter
APN	AHERA Pilot NON Program
CC	Civil Administrative Complaint
CCA	Civil Complaint—audit Policy
CCR	Civil Admin Referred to DOJ
CIN	Civil Injunction
CRM	Criminal Action
CSP	Civil Subpoena
CSZ	Civil Seizure
FNN	Federal Notice of Noncompliance
FNS	Significant Federal NON—Major or Minor Action
FRE	Formal Recall
IRE	Informal Recall
NOD	Notice of Detention
NOI	Notice of Intent to Terminate Establishment Registration
NON	Notice of Noncompliance
NOV	Notice of Violation
PPR	PCB Permit Revocation
PPS	PCB Permit Suspension
RAC	D, S, M, R of Application Certificate
SSU	Stop Sale, Use, or Removal Order
TER	Termination of Establishment Registration

Appendix B

Facility Function Codes

Facility Function Code (FACILIT) values:

Facility Function Code (FACILIT)	
Code	Description
AG	Agent Facility
BK	Broker
CA	Certified Commercial Applicator
CM	Commercial Facility
CO	Child Occupied Facility/Miscellaneous
CV	Conveyor
DA	Permitted Disposer – Alternative Methods
DH	Permitted Disposer – High Efficiency Boilers
DI	Permitted Disposer – Incinerator
DL	Dealer
DL	Permitted Disposer – Landfill
DM	Permitted Disposer – Dredge/Sludge material
DP	Disposer
DR	Permitted Disposer – Research/Develop
DS	Drift Site
DT	Distributor
HD	Section (8) HUD Homes
LB	Laboratory
LL	Landlord/Renter Facility
MI	Manufacturer/Importer
MK	Marketplace
MN	Manufacturer
OP	Other Parties
PA	Certified Private Applicator
PB	Public Facility
PC	Processor
PD	Producer
PR	Private Facility
PS	Secondary Processor
PT	Port of Entry
RB	Renovator Place of Business
RE	Real Estate/Broker Facility
RG	Registrant
RU	RUP Dealer
SA	Supervised Applicator
ST	Storer
TH	Target Housing/Bridges
TR	Training Facility/Provider
TS	Target Site
UA	Uncertified Applicator
US	User

Appendix C

Investigation Type Codes

Investigation Type Code (INVSTYP) values:

Investigation Type Code (INVSTYP)	
Code	Description
ACO	Asbestos ASHAA Close Out
AEA	AHERA, Enforcement, SEE Conducted
AEF	AHERA, Enforcement, Federal Conducted
AES	AHERA, Enforcement, State Conducted
AMA	Asbestos, MAP Enforcement, SEE
AMF	Asbestos, MAP Enforcement, Federal
AMS	Asbestos, MAP Enforcement, State
ANE	Asbestos, NESHAPS
AON	Asbestos ASHAA Ongoing
APA	Asbestos ASHAA Pre Award
AUN	Asbestos ASHAA Unfunded
DEE	Ecological Effects Data Audit
DHE	Health Effects Data Audit
EDA	EPCRA, Data Quality, SEE Conducted
EDF	EPCRA, Data Quality, Federal Conducted
EDS	EPCRA, Data Quality, State Conducted
EEA	EPCRA, Enforcement, SEE Conducted
EES	EPCRA, Enforcement, State Conducted
EMX	Emergency Exemption
EUP	Experimental Use Permit
FTR	Section 7, Failure to Report
GLP	Good Laboratory Practices
GPR	General Product Review
L2A	Section 402 SEE Conducted
L2F	Section 402 Federal Conducted
L2S	Section 402 State Conducted
L4A	Section 404 SEE Conducted
L4F	Section 404 Federal Conducted
L4S	Section 404 State Conducted
L6A	Section 406 SEE Conducted
L6F	Section 406 Federal Conducted
L6S	Section 406 State Conducted
L8A	Section 1018 SEE Conducted
L8F	Section 1018 Federal Conducted
L8S	Section 1018 State Conducted
MPT	Import
RGA	Use Restricted/General Agriculture
RGN	Use Restricted/General Non-Agriculture
RRV	Records Review
SLN	Special Local Need
SPR	Specific Product Review
UEM	Use Emergency Exemption
UEU	Use Experimental Use Permit
UGA	Use General Agriculture
UGN	Use General Non-Agriculture
URA	Use Restricted Agriculture
URN	Use Restricted Non-Agriculture
USL	Use Special Local Need
XPT	Export
13I	Section 13 Importer Inspection

Investigation Type Code (INVSTYP)	
Code	Description
13R	Section 13 Records Review
2XP	Section 12 Export
4CI	For Section 4 Inspections
4EE	Section 4 Ecological Effects Data Audit
4HE	Section 4 Health Effects Data Audit
4LP	Section 4 Good Laboratory Practices
5CE	Section 5 Chemical Substance Exemption
5CI	For Section 5 Inspections
5EF	Section 5 (E) Of (F) Order
5FN	Section 5 Failure to Notify
5PM	Section 5 Premanufacture Notice
5SU	Section 5 Significant New Use Rule
5TM	Section 5 Test Marketing Exemption
6AA	Section 6 Asbestos SEE Conducted
6AF	Section 6 Asbestos Federal Conducted
6AS	Section 6 Asbestos State Conducted
6CF	Section 6 Chlorofluorocarbons
6CI	For Section 6 Inspections
6CR	Section 6 Hexavalent Chromium
6CS	State Hexavalent Chromium
6DX	Section 6 Dioxin
6PA	Section 6 PCB SEE Conducted
6PF	Section 6 PCB Federal Conducted
6PS	Section 6 PCB State Conducted
6WA	Sec.6 Asbestos Worker Protection SEE
6WF	Sec.6 Asbestos Worker Protection Federal
6WS	Sec.6 Asbestos Worker Protection State
7CI	For Section 7 Inspections
7IH	Section 7 Imminent Hazard
8CI	Section 8 Confidential Inspections
8CR	Section 8C Records Rule
8HS	Section 8D Health And Safety Studies
8LA	Section 8A Level A Rule
8NV	Section 8 Inventory Rule
8SR	Section 8E Substantial Risk

This page is intentionally left blank.

Appendix D

Pollution Prevention Type Codes

Pollution Prevention Type Code (PPCODE) values:

Pollution Prevention Type Code (PPCODE)	
Code	Description
CLN	Cleanup/Restoration Projects
DSP	Disposal
EAU	Environmental Audit
EST	Environmental Study
OTH	Other
OUT	Outreach/Enforcement Environmental Public Awareness Project
RDI	Remedial Inspections
SRP	Source Reduction/Pollution Prevention—Process Modification
SRR	Source Reduction/Pollution Prevention—Recycling
SRT	Source Reduction/Pollution Prevention—Technological Improvements
TRN	Training
WMP	Waste Minimization/Pollution Prevention—Process Modification
WMR	Waste Minimization/Pollution Prevention—Recycling
WMT	Waste Minimization/Pollution Prevention—Technological Improvements

Appendix E

Reason for Inspection Codes

Reason for Inspection (REASON) values:

Reason for Inspection	
Code	Description
CAI	Compliance Assistance
FCA	For Cause, Associated
FCC	For Cause, Cancellation Notice
FCD	For Cause, Disposal
FCF	For Cause, Follow-up
FCG	For Cause, Government
FCH	For Cause, Headquarters
FCL	For Cause, Recall
FCP	For Cause, Private Citizen/Press Complaint
FCR	For Cause, Regulated Community Complaint
FCS	For Cause, Suspension
FCT	For Cause, Stop Sale, Use or Removal Order
FCV	For Cause, Violation
FCZ	For Cause, Seizure
NSA	Neutral Scheme, Associated
NSF	Neutral Scheme, Follow-up
NSH	Neutral Scheme, Headquarters
NSI	Neutral Scheme, Indian Tribe
NSR	Neutral Scheme, Region
NSS	Neutral Scheme, State
OVR	SWC Overview Inspection
SCD	Secondary Inspection

Appendix F

Referral Type Codes

Referral Type Code (REFERRL) values:

Referral Type Code	
Code	Value
ER	EPA to HUD Referral
HR	HUD to EPA Referral
QR	Headquarters to Region Referral
RQ	Region to Headquarters Referral
RR	Region to Region Referral
RS	Region to State Referral
SR	State to Region Referral
27	Section 26/27 Referral

Appendix G

Region/State/Territory Codes

Region/State/Territory codes:

Region/State/Territory Codes		
Region	State/ Territory	Description
04	AL	Alabama
10	AK	Alaska
09	AZ	Arizona
06	AR	Arkansas
09	CA	California
08	CO	Colorado
01	CT	Connecticut
03	DE	Delaware
03	DC	District of Colombia
04	FL	Florida
04	GA	Georgia
09	HI	Hawaii
10	ID	Idaho
05	IL	Illinois
05	IN	Indiana
07	IA	Iowa
07	KS	Kansas
04	KY	Kentucky
06	LA	Louisiana
01	ME	Maine
03	MD	Maryland
01	MA	Massachusetts
05	MI	Michigan
05	MN	Minnesota
04	MS	Mississippi
07	MO	Missouri
08	MT	Montana
07	NB	Nebraska
09	NV	Nevada
01	NH	New Hampshire
02	NJ	New Jersey
06	NM	New Mexico
02	NY	New York
04	NC	North Carolina
08	ND	North Dakota
05	OH	Ohio
06	OK	Oklahoma
10	OR	Oregon
03	PA	Pennsylvania
01	RI	Rhode Island
04	SC	South Carolina
08	SD	South Dakota
04	TN	Tennessee
06	TX	Texas
08	UT	Utah
01	VT	Vermont
03	VA	Virginia
10	WA	Washington
03	WV	West Virginia

Region/State/Territory Codes		
Region	State/ Territory	Description
05	WI	Wisconsin
08	WY	Wyoming
09	XI	Ak-Chin Indian Community
09	XA	America Samoa
09	XB	Arizona Department of Agriculture
08	CR	Arizona Struct. Pest. Control Commission
09	XM	Cheyenne River Sioux Reservation
09	XE	Colorado River Indian Tribes
09	XC	Comm-N. Marianna Islands
08	FB	Fort Berthold Reservation
09	XK	Fort McDowell Yavapai Tribe
09	XJ	Fort Mohave Indian Tribe
09	XD	Gila River Indian Community
09	GU	Guam
06	JA	Jicarilla Apache Tribe, New Mexico
08	LB	Lower Brule Sioux Reservation
09	XG	Navajo Indian Tribe
10	NP	Nez Perce
07	OM	Omaha
08	OG	Pine Ridge Oglalla Reservation
02	PR	Puerto Rico
09	XL	Quechan Indian Tribe
09	RP	Republic of Palav
08	RS	Rosebud Sioux Reservation
07	SS	Santee Sioux
10	SB	Shoshone – Bannock Tribe
09	XF	S.R. Pima-Maricopa Indian Community
08	SR	Standing Rock
09	XH	Tohono O'Odham Tribe
09	TT	Trust Territories Pacific
02	VI	Virgin Islands
05	WE	White Earth Band of Minnesota
07	WB	Winnebago
10	YK	Yakima

This page is intentionally left blank.

Appendix H

Violation Codes

Violation Code (VIOCDE) values:

Violation Codes (VIOCDE)	
Code	Description
FIFRA Violation Codes	
1AA	Sold or distributed a pesticide not registered under Section 3 or was cancelled or suspended.
1AB	Registrant, wholesaler, dealer, retailer, or other distributor advertised or otherwise "offered for sale," in any medium, a pesticide that was not registered under Section 3 or was cancelled or suspended, other than in accordance with Agency policy.
1BA	Claims made for a pesticide as part of sale or distribution differed substantially from those accepted in connection with registration.
1BB	Registrant, wholesaler, dealer, retailer, or other distributor advertised or otherwise "offered for sale," in any medium, a registered pesticide product for an unregistered use, other than in accordance with Agency policy.
1CA	Sold or distributed a pesticide whose composition differed from the composition represented in the registration.
1DA	Sold or distributed a pesticide which has not been colored or discolored pursuant to Section 25(c)(5).
1EA	Sold or distributed a pesticide or device which is misbranded in that the label has a statement, design, or graphic representation which is false or misleading.
1EB	Sold or distributed a pesticide or device which is misbranded in that the pesticide is not contained in a package or other container or wrapping which conforms to the standards established pursuant to Section 25(c)(3) (e.g., not contained in child-resistant packaging or safety containers).
1EC	Sold or distributed a pesticide or device which is misbranded in that is an imitation of, or is offered for sale under the name of, another pesticide.
1ED	Sold or distributed a pesticide or device which is misbranded in that the label did not bear the registration number assigned under Section 7.
1EE	Sold or distributed a pesticide or device which is misbranded in that any words, statements, or other information required by the Act were not prominently placed on the label in such a way as to make it readable or understandable.
1EF	Sold or distributed a pesticide or device which is misbranded in that the label did not contain directions for use necessary to make the product effective and to adequately protect health and the environment.
1EG	Sold or distributed a pesticide or device which is misbranded in that the label did not contain a warning or caution statement adequate to protect health and the environment.
1EH	Sold or distributed a non-registered pesticide intended for export which is misbranded in that the label did not have a prominently displayed, "Not Registered for Use in the United States of America."
1EI	Sold or distributed a pesticide which is misbranded in that the label did not bear an ingredient statement on the immediate container which is presented or displayed under customary conditions of purchase.
1EJ	Sold or distributed a pesticide which is misbranded in that the labeling does not contain a statement of use classification for which the product was registered.
1EK	Sold or distributed a pesticide which is misbranded in that there is not a label affixed to the pesticide container, and to the outside wrapper of the retail package if the required information on the immediate container cannot be clearly read, a label bearing all of the following information: (i) the name and address of the producer, registrant, or person for whom produced; (ii) the name, brand, or trademark under which the pesticide is sold; (iii) the net weight or measure of the content; and, when required by registration, (iv) the registration number assigned to the pesticide and the use classification.
1EL	Sold or distributed a pesticide which is misbranded in that the pesticide is sold in quantities highly toxic to man and the label failed to bear a skull and crossbones, and the word "poison" prominently in red on a contrasting background color, and/or the label did

Violation Codes (VIOCDE)	
Code	Description
	not bear a statement of practical treatment.
1EM	Sold or distributed a pesticide which is adulterated in that: (i) the strength or purity falls below the professed standard of quality expressed on the labeling; (ii) any substance has been substituted wholly or in part abstracted; or, (iii) any valuable constituent of the pesticide has been wholly or in part abstracted.
2AA	Person detached, altered defaced, or destroyed, in whole or in part, any labeling required under the Act.
2BA	Person refused to prepare, maintain, or submit any records required under Sections 6, 7, 8, 11, or 19.
2BB	Person refused to submit any reports required by or under Section 5, 6, 7, 8, 11, or 19.
2BC	A registrant refused to submit reports under Section 6(a)(2) regarding unreasonable adverse effects of their pesticide.
2BD	Person refused to allow entry, inspection, copying of records, or sampling authorized by the Act.
2CA	Person gave a guarantee of undertaking provided for in Section 12(b) which was false in any particular.
2DA	Person used to their personal advantage or revealed to persons other than those authorized by the Act any information acquired under the Act which is confidential.
2EA	Registrant, wholesaler, dealer, retailer, or other distributor advertised a restricted use pesticide without indicating that the product was restricted.
2FA	Person distributed, sold, made available for use, or used a restricted use pesticide for a purpose other than in accordance with Section 3 (d) or regulations issued.
2FB	Person distributed, sold, or made available for use, or used, a restricted use pesticide without maintaining the records required by regulations (a Notice of Warning should be issued for first-time "partial" violations). Violations continuing subsequent to the issuance of a civil complaint are to result in a suspension.
2GA	Person used a registered pesticide in a manner inconsistent with its labeling.
2HA	Person used a pesticide which was under an Experimental Use permit contrary to the provisions of the permit.
2IA	Person violated any order issued under Section 13 (e.g., Stop Sale, Use, or Removal Order, or Seizure).
2JA	Person violated any suspension order issued under Section 6.
2JB	Person violated any suspension order issued under Section 3(c)(2)(b) or 4.
2KA	Person violated any cancellation order issued under the Act on the grounds of unreasonable adverse effects.
2KB	Person violated any cancellation order issued under the Act on grounds other than unreasonable adverse effects.
2KC	Person failed to submit a Section 6(g) notice when required.
2KD	Person submitted a notably late Section 6(g) notice.
2KE	Person submitted an incomplete or incorrect Section 6(g) notice.
2LA	Producer produced a pesticide or active ingredient subject to the Act in an unregistered establishment.
2LB	Producer failed to submit, or submitted notably late, a report to the Administrator, under Section 7, which indicates the types and amounts of pesticides or active ingredients which they are currently producing, which they produced during the past year, and which they sold or distributed during the past year.
2LC	Producer submitted a late report to the Administrator, under Section 7, which indicates the types and amounts of pesticides or active ingredients which they are currently producing, which they produced during the past year, and which they sold or distributed during the past year (civil complaint issued only if the producer does not respond to a Notice of Warning or there is a subsequent violation within a three year time frame from the first violation).
2LD	Producer submitted an incomplete Section 7 report with minor omissions of the required information (civil complaint issued only if the producer does not respond to a Notice of

Violation Codes (VIOCDE)	
Code	Description
	Warning or there is a subsequent violation within a three year timeframe from the first violation).
2LE	Producer submitted an incomplete Section 7 report with major omissions of the required information.
2LF	Upon request of the Administrator for the purposes of the issuance of Section 13 Stop Sale Orders, a producer failed to provide the names and addresses of the recipients of the pesticides produced in any of his registered establishments.
2MA	Person knowingly falsified all or any part of an application for registration, application for an experimental use permit, any information submitted under Section 7, any records required to be maintained by the Act, any report filed under the Act, or any information marked as confidential and submitted to the Administrator under any provision of the Act.
2NA	A registrant, wholesaler, dealer, retailer, or other distributor failed to file reports required by the Act.
2OA	Person added a substance to, or took a substance from a pesticide in a manner that may defeat the purpose of this Act.
2PA	Person used a pesticide in tests on human beings in violation of the conditions specified by the Act.
2QA	Person falsified information relating to the testing of pesticide (or any of its ingredients, metabolites, or degradation products) for which the person knows will be furnished to the Administrator, or will become a part of any records required to be maintained by this Act.
2QB	Person falsely represented compliance with the FIFRA Good Laboratory Practice (GLP) regulations as a result of a high level GLP violation.
2QC	Person falsely represented compliance with the FIFRA Good Laboratory Practice (GLP) regulations as a result of a middle level GLP violation.
2QD	14(a)(1) person represented compliance with the FIFRA Good Laboratory Practice (GLP) regulations as a result of a low level GLP violation.
2QE	14(a)(2) person represented compliance with the FIFRA Good Laboratory Practice (GLP) regulations as a result of a low level GLP violation.
2RA	Person submitted data known to be false in support of a registration.
2SA	Person sold, distributed, or used an unregistered pesticide in violation of a regulation issued under Section 3(a).
2SB	Person violated any regulation issued under Section 19.
TSCA Violation Codes	
12B	Export Notification—failure to notify
13A	FTC, + Certification Required
13B	FTC, - Certification Required
13C	FC, + Certification but chemical is subject to TSCA
13D	FC, - Certification but chemical is subject to TSCA
13E	FC, -Certification but Entry Not Allowed TSCA
4TS	Chemical Section 4 Testing
5BF	Section 5 Bona Fide
5EF	Section 5 (E)/(F)
5GR	Section 5
5PG	Section 5 General PMN
5PS	Section 5 Specific PMN
5TM	Section 5 TME
6AA	Asbestos, Analysis
6AK	Asbestos, Failure to Maintain Records
6AR	Asbestos, Failure to Report, Notify, Inform
6AV	Asbestos, Inspect
6AW	Asbestos, Sample
6CF	Section 6 Chlorofluorocarbons
CDX	Section 6 Dioxin

Violation Codes (VIOCDE)	
Code	Description
6PC	Storage; Operating Community Storage Facility without Authorization
6PD	Disposal; Improper Disposal of PCBs/Items
6PE	Disposal; Environmental Release, PCB Item in Use
6PG	Manufacturing; Incidental Generation & Import
6PI	Use; Fail to Inspect/Make Records of Inspections
6PK	PCB, Failure to Maintain Records
6PL	PCB, Label or Marking
6PM	Manifesting; No Manifests or Manifest Errors
6PN	Failure to Notify EPA of PCB Waste Activity
6PR	PCB, Failure to Report
6PS	PCB, Storage
6PT	Disposal; Violates TSCA Disposal Author, R&D
6PU	PCB, Use
6PV	PCB, Inspect
6PW	Manifest; Failure to Submit Unman Waste Report
6PZ	PCB, Dispose
8A	Section 8(A) Level A
8B	Section 8(B) Inventory Update
8C	Section 8(C) Record keeping
8D	Section 8(D) Reporting
8E	Section 8(E) Reporting
8RR	Section 8 Reporting and Record keeping
L8D	Failure to disclose information
L8I	Failure to ensure performance
L8O	Failure to include information in contract/lease
L8P	Failure to inform of obligations
L8R	Failure to provide available information
L8T	Failure to retain disclosure records
LA	Failure to allow timely review/evaluation
LAC	AHERA LEA, Clearance
LI	AHERA LEA, Inspection
LIF	AHERA LEA, False Information
LL	AHERA LEA, Unaccredited Lab
LM	AHERA LEA, Management Plan
LMD	AHERA LEA, Management Plan Deferral
LMF	AHERA LEA, Failure to Submit/Include/Update Management Plan
LMI	AHERA LEA, Failure to Implement Management Plan
LMN	AHERA LEA, Failure to Notify
LO	AHERA LEA, Operations and Maintenance
LOF	AHERA LEA, Fiber Release
LR	AHERA LEA, Responsibility
LS	AHERA LEA, Improper Sampling
MAP	Accredited Person on Violation
MTC	Training Course Provider Violation
MUP	Unaccredited Person Violation
PAA	AHERA OTHERS, Unaccredited Response Action Workers
PAI	AHERA OTHERS, Inspection Accreditation
PAL	AHERA OTHERS, Unaccredited Lab
PAP	AHERA OTHERS, Unaccredited Person Developed Management Plan
PAS	AHERA OTHERS, Response Action Accreditation
PAW	AHERA OTHERS, Unaccredited Workers/Accredited Supervisor
PC	AHERA OTHERS, Clearance

Violation Codes (VIOCDE)	
Code	Description
PI	AHERA OTHERS, Inspection
PL	AHERA OTHERS, LAB
PM	AHERA OTHERS, Management Plan
EPCRA Violation Codes	
EEE	EPCRA, Egregious Error
EFR	EPCRA, Nonreporting/Failure to Report to EPA
EFS	EPCRA, Failure to Report to State
ELR	EPCRA, Late Reporting
ERK	EPCRA, Record Keeping Violations
ERO	EPCRA, Report to Incorrect Address/Office
ETS	EPCRA, Submit Form W Frivolous T.S. Claims

Attachment 1

NCDB Data Elements (by data element name)

NCDB Data Elements (by data element name)						
Element Name	Group	Index?	Sensitive?	Data Type	Length	Long Name
\$#1NAME	IDENTIFICATION	Y	S	Char	18	Name Index
ABATEMN	ENFORCEMENT ACTIONS	Y	S	Num	9	Abatement Work
ACTIVIT(K)	GRANTS	Y	S	Char	1	Activity
ACTNID(K)	MULTIPLE ACTIONS	N	S	Char	19	Case or Docket Number
ACTNTYP	ENFORCEMENT ACTIONS	Y	S	Char	3	Action Type Code
ACTWRNT	SAMPLES	N	S	Char	1	Action Warranted Flag
ADDRESS	IDENTIFICATION	N	S	Char	40	Street Address
ADMJUD	ENFORCEMENT ACTIONS	Y	S	Char	1	Administrative/Judicial Action Flag
AGFOLLO	GRANTS	N	S	Num	7	Agricultural Follow-Up Inspections
AGRLANL	GRANTS	N	S	Num	7	Agricultural/Animal Applicators
AGRLPLT	GRANTS	N	S	Num	7	Agricultural/Plant Applicators
AGUSE	GRANTS	N	S	Num	7	Agricultural Use Inspections
AMTMIT	SETTLEMENT TERMS	N	S	Num	9	Amount Mitigated for EBEs
AOISSUE	ENFORCEMENT ACTIONS	Y	S	Num	8	Date Minor Action Issued (YYYYMMDD)
APPEAL	ENFORCEMENT ACTIONS	Y	S	Num	8	Date of Appeal (YYYYMMDD)
AQUATIC	GRANTS	N	S	Num	7	Aquatic Applicators
AUDITS	INSPECTIONS	N	S	Num	4	Number of Audits
CAFOMAI	ENFORCEMENT ACTIONS	N	S	Num	8	Date CAFO Mailed (YYYYMMDD)
CAFOREC	ENFORCEMENT ACTIONS	N	S	Num	8	Date CAFO Received (YYYYMMDD)
CAFOTHM	ENFORCEMENT ACTIONS	N	S	Num	8	Date CAFO Signed (Them) (YYYYMMDD)
CAFOUS	ENFORCEMENT ACTIONS	N	S	Num	8	Date CAFO Signed (EPA) (YYYYMMDD)
CASNMBR	INSPECTIONS	Y	S	Char	10	CAS Number
CATEGOR(K)	GRANTS	Y	S	Char	4	Category
CERTAPL	GRANTS	N	S	Num	7	Certified Applicators Records Inspections
CERTIF	INSPECTIONS	Y	S	Char	3	Chemical Search Review Status
CHEMFRM	INSPECTIONS	N	S	Char	60	Formula of Chemical Investigated
CHEMICL	ENFORCEMENT ACTIONS	N	S	Char	20	Name of Chemical
CHEMNAM	INSPECTIONS	N	S	Char	60	Name of Chemical Investigated
CITY	IDENTIFICATION	N	S	Char	20	City Name
CLOSED	ENFORCEMENT ACTIONS	Y	S	Num	8	Date Action is Closed (YYYYMMDD)
COMMAPP	GRANTS	N	S	Num	7	Commercial Applicators
COMPDE	SAMPLES	Y	S	Num	8	Date Case Review Completed (YYYYMMDD)
COST	SETTLEMENT TERMS	N	S	Num	9	Cost to Respondent Per EBE Term
DATESNT	SAMPLES	Y	S	Num	8	Date Sample Sent to Lab (YYYYMMDD)
DATEVAC	ENFORCEMENT	Y	S	Num	8	Date Action is Vacated

NCDB Data Elements (by data element name)						
Element Name	Group	Index?	Sensitive?	Data Type	Length	Long Name
	ACTIONS					(YYYYMMDD)
DEMORGES	GRANTS	N	S	Num	7	Demonstration/Research Applicators
DESTIN	REFERRALS	N	S	Char	2	Destination Referral Code
DISACTN	ENFORCEMENT ACTIONS	N	S	Num	8	Date of District Court Action (YYYYMMDD)
DOCCASE	REFERRALS	Y	S	Char	15	Docket or Case Number
DUE	SETTLEMENT TERMS	N	S	Num	8	Date Settlement Condition Due (YYYYMMDD)
DUN	IDENTIFICATION	N	S	Char	11	DUNs Number
EBE	SETTLEMENT TERMS	Y	S	Char	1	Environmentally Beneficial Expenditure Flag
ENFISSU	REFERRALS	Y	S	Num	8	Date Enforcement Action Issued (YYYYMMDD)
ENFTARG	REFERRALS	Y	S	Num	8	Date Enforcement Action Targeted (YYYYMMDD)
ENFWARR	REFERRALS	Y	S	Char	1	Enforcement Action Warranted Flag
ENTRYDT	INSPECTIONS	Y	S	Num	8	Date of Import (YYYYMMDD)
ENTRYNO	INSPECTIONS	N	S	Char	15	Import Number
EPESTAB	INSPECTIONS	N	S	Char	12	Pesticide Producer Establishment Number
EXPERIM	GRANTS	N	S	Num	7	Experimental Use Inspections
EXPORT	GRANTS	N	S	Num	7	Exports Inspections
FACILIT	INSPECTIONS	Y	S	Char	2	Facility Function Code
FEDFAC	INSPECTIONS	Y	S	Char	1	Federal Facility Flag
FNDDEC	ENFORCEMENT ACTIONS	N	S	Num	8	Date of ALJs Decision (YYYYMMDD)
FNLPENL	ENFORCEMENT ACTIONS	Y	S	Num	9	Final Penalty Assessed
FOREST	GRANTS	N	S	Num	7	Forest Applicators
HASHWD	IDENTIFICATION	Y	S	Char	4	Name Hash Index
HEARHLD	ENFORCEMENT ACTIONS	N	S	Num	8	Date of Hearing (YYYYMMDD)
HQCONCR	ENFORCEMENT ACTIONS	Y	S	Num	8	Date of HQ Concurrence (YYYYMMDD)
IACNTY	MULTIPLE ACTIONS	Y	S	Char	3	Enforcement Action Type Code
IADMJUD	MULTIPLE ACTIONS	Y	S	Char	1	Administrative/Judicial Action Flag
IAOISSU	MULTIPLE ACTIONS	Y	S	Num	8	Date Minor Action Issued (YYYYMMDD)
ICAFOMA	MULTIPLE ACTIONS	N	S	Num	8	Date CAFO Mailed (YYYYMMDD)
ICAFOUS	MULTIPLE ACTIONS	N	S	Num	8	Date CAFO Signed (EPA) (YYYYMMDD)
ICLOSED	MULTIPLE ACTIONS	Y	S	Num	8	Date Action is Closed (YYYYMMDD)
IFNLPEN	MULTIPLE ACTIONS	Y	S	Num	9	Final Penalty Assessed
IMAISSU	MULTIPLE ACTIONS	Y	S	Num	8	Date Major Action Issued (YYYYMMDD)
IMAWITH	MULTIPLE ACTIONS	N	S	Num	8	Date Major Action Withdrawn (YYYYMMDD)
IMPORTS	GRANTS	N	S	Num	7	Imports Inspections
INDSTRH	GRANTS	N	S	Num	7	Industrial/Institutional Structural Health Applicators
INSPCON	REFERRALS	Y	S	Num	8	Date Inspection Conducted (YYYYMMDD)

NCDB Data Elements (by data element name)						
Element Name	Group	Index?	Sensitive?	Data Type	Length	Long Name
INSPDTE	INSPECTIONS	Y	S	Num	8	Date of Inspection (YYYYMMDD)
INSPECT	REFERRALS	Y	S	Char	15	Inspection Number
INSPNBR	INSPECTIONS	Y	S	Char	5	Inspector Number
INSTARG	REFERRALS	Y	S	Num	8	Date Inspection Targeted (YYYYMMDD)
INUMEBE	MULTIPLE ACTIONS	Y	S	Num	4	Number of EBE Settlement Terms
INVENTY	INSPECTIONS	Y	S	Char	1	TSCA Inventory Status of Chemicals
INVSTYP	COMMON	Y	S	Char	3	Investigation Type Code
IPROPEN	MULTIPLE ACTIONS	Y	S	Num	9	Proposed Penalty
IREFRDO	MULTIPLE ACTIONS	N	S	Num	8	Date Action Referred to DOJ (YYYYMMDD)
IRGNSTA	MULTIPLE ACTIONS	Y	S	Char	2	Region/State/Territory Issuing Action
ISNC	MULTIPLE ACTIONS	Y	S	Char	1	Significant Noncomplier Flag
ISTATUS	MULTIPLE ACTIONS	Y	S	Char	1	Action Status
IVIOCDE	MULTIPLE ACTIONS	N	S	Char	23	Violation Codes
IVIOCOD	MULTIPLE ACTIONS	Y	S	Char	3	Violation Code Index
LEGISLA	COMMON	Y	S	Char	1	Legislation/Statute Code
MAISSUE	ENFORCEMENT ACTIONS	Y	S	Num	8	Date Major Action Issued (YYYYMMDD)
MARKET	GRANTS	N	S	Num	7	Marketplace Inspections
MAWITHD	ENFORCEMENT ACTIONS	N	S	Num	8	Date Major Action Withdrawn (YYYYMMDD)
MEDIUM	SAMPLES	Y	S	Char	3	Sample Medium Code
NAGFOLL	GRANTS	N	S	Num	7	Non-Agricultural Follow-Up Inspections
NAGUSE	GRANTS	N	S	Num	7	Non-Agricultural Use Inspections
NAME	IDENTIFICATION	N	S	Char	40	Name
NAMEHSH	IDENTIFICATION	N	S	Char	100	Name Hash
NAMESEQ(K)	IDENTIFICATION	Y	S	Char	1	Name Sequence Number
NAMETYP	IDENTIFICATION	N	S	Char	8	Name Type
NBRSAMP	INSPECTIONS	N	S	Num	4	Number of Samples Taken
NCDBID	INDEPENDANT	N	S	Char	19	NCDB Identification Number
NUMEBES	ENFORCEMENT ACTIONS	Y	S	Num	4	Number of EBE Settlement Terms
ORIGIN	REFERRALS	N	S	Char	2	Origin of Referral Code
ORNTURF	GRANTS	N	S	Num	7	Ornamental and Turf Applicators
OTHER	GRANTS	N	S	Num	7	Other
PBLHEAL	GRANTS	N	S	Num	7	Public Health Applicators
PPCODE	SETTLEMENT TERMS	N	S	Char	3	Pollution Prevention Type Code
PRODUCT	COMMON	Y	S	Char	14	EPA Product Registration Number
PROESTB	GRANTS	N	S	Num	7	Producing Establishments Inspections
PROPENL	ENFORCEMENT ACTIONS	Y	S	Num	9	Proposed Penalty
PVTAPPL	GRANTS	N	S	Num	7	Private Applicators

NCDB Data Elements (by data element name)						
Element Name	Group	Index?	Sensitive?	Data Type	Length	Long Name
QUARTER(K)	GRANTS	Y	S	Char	1	Quarter
REASON	COMMON	Y	S	Char	3	Reason for Inspection Code
REC	SETTLEMENT TERMS	N	S	Num	8	Date Settlement Condition Term Received (YYYYMMDD)
REFDATE	REFERRALS	Y	S	Num	8	Date of Referral (YYYYMMDD)
REFERBY	REFERRALS	N	S	Char	20	Referred By
REFERHQ	ENFORCEMENT ACTIONS	Y	S	Num	8	Date of Referral to HQ (YYYYMMDD)
REFERRL	COMMON	Y	S	Char	2	Referral Type Code
REFRDOJ	ENFORCEMENT ACTIONS	N	S	Num	8	Date Action Referred to DOJ (YYYYMMDD)
REFTYPE	REFERRALS	Y	S	Char	2	Referral Type
REGION	COMMON	Y	S	Char	2	EPA Region Code
REGULA	GRANTS	N	S	Num	7	Regulatory Commercial Applicators
REQRECD	REFERRALS	Y	S	Num	8	Date Reference Request Received (YYYYMMDD)
RGHTOFW	GRANTS	N	S	Num	7	Right of Way Applicators
RGNSTAT	COMMON	Y	S	Char	2	Region/State/Territory/Tribe Code
RUPDEAL	GRANTS	N	S	Num	7	Restricted Use Pesticide Dealer Inspections
SAMPLES	REFERRALS	N	S	Char	1	Samples to be Taken Flag
SAMPNBR	SAMPLES	Y	S	Char	3	Sample Number
SCHLTYP	INSPECTIONS	Y	S	Char	1	School System Type Code
SCHOOLS	INSPECTIONS	N	S	Num	4	Number of Schools Inspected
SEEDTRE	GRANTS	N	S	Num	7	Seed Treatment Applicators
SENTHQQ	ENFORCEMENT ACTIONS	Y	S	Num	8	Date Action Sent to HQ (YYYYMMDD)
SIC	IDENTIFICATION	Y	S	Char	4	SIC Code Index
SICLIST	IDENTIFICATION	N	S	Char	29	SIC Codes
SNC	ENFORCEMENT ACTIONS	Y	S	Char	1	Significant Noncomplier Flag
SPRODCT	SAMPLES	Y	S	Char	14	EPA Registration Number of Sample Product
STATE	IDENTIFICATION	Y	S	Char	2	State/Territory Abbreviation
STATUS	ENFORCEMENT ACTIONS	Y	S	Char	1	Action Status
STCITYN	IDENTIFICATION	Y	S	Char	12	State/City Index
TARIFF	INSPECTIONS	N	S	Char	10	Tariff Schedule Number
TERM	SETTLEMENT TERMS	N	S	Char	50	Description of Term
TERMSEQ(K)	SETTLEMENT TERMS	N	S	Num	4	Term Sequence Number
TOTAL	GRANTS	N	S	Num	10	Total Enforcement Accomplishments
TYPE(K)	GRANTS	Y	S	Char	1	Type
VIOCDE	ENFORCEMENT ACTIONS	N	S	Char	23	Violation Codes
VIOCODS	ENFORCEMENT ACTIONS	Y	S	Char	3	Violation Code Index
VOLATIV	SAMPLES	Y	S	Char	1	Violative Sample Flag
YEAR(K)	GRANTS	Y	S	Num	4	Year
ZIP	IDENTIFICATION	N	S	Char	10	Zip Code
ZIP5	IDENTIFICATION	Y	S	Char	5	Five-Digit Zip Code

This page is intentionally left blank.